

PTO/SB/21 (08-03)
Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|----------------------|------------------------|----------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/672,052 | |
| | Filing Date | 09/26/2003 | |
| | First Named Inventor | Selinfreund | |
| | Art Unit | TBD | |
| | Examiner Name | TBD | |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | VTI-114.4B(US) |

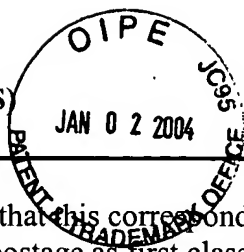
| ENCLOSURES (Check all that apply) | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | RETURN POST CARD |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | PILLSBURY WINTHROP LLP Raymond G. Cappel |
| Signature | |
| Date | 12/30/2003 |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
|---|-------------------|-----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | |
| Typed or printed name | Raymond G. Cappel | |
| Signature | | Date 12/30/2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, P. O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: December 30, 2003


Raymond G. Cappo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Selinfreund, et al.

CASE NO.: VTI-114.4B(US)

APPLICATION NO.: 10/672,052

GROUP ART UNIT: TBD

FILED: September 26, 2003

EXAMINER: TBD

FOR: TRANSIENT OPTICAL STATE CHANGE MATERIALS USEFUL IN COPY-
PROTECTED COMPACT DISKS

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b). Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

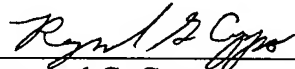
- (X) In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or **before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.** The Commissioner is authorized to charge any fees required to Deposit Account No. 03-3975.

- () In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
- () Certification in Accordance with §1.97(e) is set forth below.
- () The fee of \$____ as set forth in §1.17(p) is attached.
- () In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$____ as set forth in §1.17(p).

Please charge any necessary fees to Deposit Account No. 03-3975.

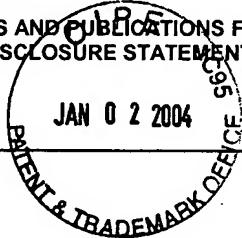
There are no listed references which are not in the English language or do not contain an English language abstract.

Respectfully submitted,



Raymond G. Cappo
Registration No. 53,836
PILLSBURY WINTHROP LLP
695 East Main Street, Suite A3
Stamford, CT 06901
Tel.: (203) 965-8260
Fax: (203) 965-8226

| | | |
|--|------------------------------------|---------------------------|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT Page 1 of 1 | ATTY. DOCKET NO.: VT-114.4B(US) | SERIAL NO.: 10/672,052 |
| | APPLICANT: SELINFREUND, et al. | |
| | FILING DATE: SEPTEMBER 26, 2003 | GROUP: TBD |



US PATENT DOCUMENTS

| Exam Init | Ref Des | Document No. | Issue Date | Name | Class | Sub Class | FILING DATE If Appropriate |
|-----------|---------|--------------|------------|------|-------|-----------|----------------------------|
| | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Country & Doc. No. (11) | Pub. Date (43) | | Class | Sub Class | Translation Yes No | |
|--|----|-------------------------|----------------|--------------------|-------|-----------|--------------------|--|
| | 1. | WO 02/03386 | 01/10/2002 | Selinfreund et al. | | | | |

OTHER ART

(including Author, Title, Date, Pertinent Pages, Publication, Etc.)

| | | | |
|--|--|--|--|
| | | | |
| | | | |